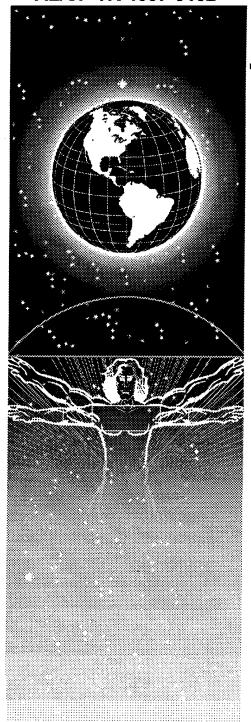
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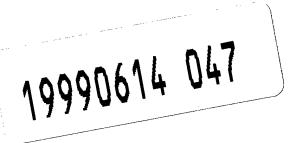
The Development of Auditory Icons for Representation of Virtual Objects in 3-D Space

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DYNASTAT 2704 Rio Grande Suite 4 Austin TX 78705

December 1994

Final Report for the Period May 1994 to November 1994



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FOR THE COMMANDER

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1.0 IDENTIFICATION OF THE PROBLEM

Two sensory systems, vision and hearing, provide the flow of information that allows us to move safely in an environment filled with stationary and moving objects. From that flow of visual data not only can we avoid collision with an object, we also can know whether to step aside or run. So long as visibility is clear, that flow of visual data allows pilots in high speed aircraft to avoid collisions, however, they may fail to recognize events outside their visual field even though indicated by head-down radar. Recent technology has enabled us to provide similar information acoustically, making it possible to detect the location of objects outside the visual field and to move the eyes directly to the location of the acoustic event, thus reducing the eye movement normally involved in search and detect activities. Time spent in visual search is not well-used since we are effectively blind when the eyes are moving. If an event in space can be electronically detected, it can then be converted to an acoustic representation, the acoustic representation passed through transfer functions and the transformed acoustic data, now carrying information conveying source location, presented to a listener over earphones. As the listener turns his head to gaze at the object, the head-tracking devices maintain the object's position with respect to the listener in real time. The transfer functions capture the acoustic patterns at each ear that are representative of a sound source at a given location, thus providing the listener with spatial information. If the sound is chosen to indicate the kind of event that occurred, then both spatial and qualitative (i.e., identification) data about the event can be communicated to the listener. For example, the nature of the object, hostile or friendly, might be captured in the timbre of the sound. The receiver can benefit because the detection of an acoustic event, unlike detection of a visual event, is independent of head orientation -- the listener can observe an acoustic event while his gaze is directed elsewhere. Thus, the pilot can acoustically recognize both the location and quality of a specific event in space surrounding an aircraft while gazing at a head-mounted display and know whether to initiate an evasive maneuver. Our objective is to create effective acoustic icons for the use of pilots.

2.0 TECHNICAL OBJECTIVES

The technical objective of this effort was to conduct a feasibility study on the ability of listeners to localize certain auditory stimuli in virtual auditory space. The questions to be addressed as part of the study were as follows:

- 1. Does the accuracy of localization vary with the auditory icon?
- 2. Do the auditory icons vary in their identifiability?
- 3. Do the auditory icons elicit judgements which vary in confidence?
- 4. Is the identifiability of an icon related to error of localization?
- 5. Is the confidence in a localization response for an icon at a virtual location related to its identifiability?
- 6. Is localization error related to confidence in the localization judgement?
- 7. Is there an interaction between icon and confidence in the lateralization judgement for virtual angles? (One might expect that all the icons would elicit secure judgements at 0°,0° but at lateral positions, some icons may elicit more secure judgements than others.)

3.0 WORK PERFORMED

In the Phase I effort five acoustic icons were generated and presented to listeners and the listener's performance in attributing a spatial location to them was measured. Each listener responded by orienting their head, i.e., pointing their nose, toward the virtual sound source which varied randomly in both azimuth and elevation from trial to trial, with the restriction that the numbers of presentations among the categories of azimuth and elevation be equal within a tolerance limit. The head-orientation made by each listener was read from the head-tracker and used as an indication of the location of the virtual source on that trial. These locations were analyzed using statistics that recognized the listener's location as the center of a sphere and the head orientations as vectors. The procedures used for the assessing the central tendency and variability of the judgements, i.e., head orientations, are described in the Appendix B.

The icons were generated with LabVIEW, a graphic programming language suitable for a number of applications. The spectrum and time waveforms for the five icons are shown in Figs. 1 to 5. All signals were 250 msec in duration and were repeated. Subjects varied in their need for repetitions. In training, some listeners wanted the signal repetitions to continue after they had approximated the direction of the virtual source so that a confirmation could be obtained. Other listeners were more secure in their head positioning. In the test runs, 10 signal repetitions were used for all listeners. A 50% duty cycle was used during the presentation of the icons so that the approximate duration of the stimulus was 5 sec. For some orientations, e.g., 135 or 225 degrees, the time required to reach the position and make a determination was longer than the five seconds for some listeners.

The listeners were chosen from about 15 applicants who responded to an advertisment in the university newspaper. One criterion was availability and the other was performance on a screening test to determine whether they could localize the noise burst, presented in the front half of the auditory field, i.e., between 90 and 270 degrees at the median plane greater than 70% of the time. The range of variation in correct judgements among the persons responding to the advertisement was from 20% to 94%. Six subjects were hired but one dropped out after 2 weeks of participation, leaving 5 listeners who completed the training and the test. Initially, there were three females and three males. We completed the study with three females and two males.

4.0 PROCEDURES

4.1. Icons

Figures 1 - 5 show graphic representation of the icons used in Phase I. The upper display in each panel represents the time waveform of the icon and the lower panel shows the spectrum. Fig. 1 represents the 500 Hz sine tone burst. The icon was stored as a file that the presentation program read. The displays shown here are from those files. The cursor is located at the peak of the spectrum shown in the bottom panel of Fig. 1. Fig. 2 shows the waveform and spectrum for the noise burst. The cursor is located at about 300 Hz, the low frequency cut-off for the noise. Figure 3 shows the waveform and spectrum for the icon labeled, Plses. The pulse rate was 500 Hz and the lower panel shows the spectrum with peaks spaced at 500 Hz. Figure 4 and 5 show the waveforms and spectra for the two sweep stimuli. Figure 4 represents the sweep from 300 Hz to 8 kHz. Figure 5 represents the sweep from 300 Hz to 1.5 kHz. Since the upper terminus is nearer the start for the 0.3 to 1.5 kHz sweep, the duration of the lower frequencies is greater than for the 0.3 to 8 kHz sweep and the time waveform is a bit clearer. The pulses, noise burst and the 8k Sweep have spectral representation above 1000 Hz. The pulses and the noise burst

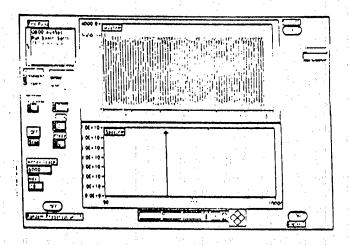


Figure 1. 500 Hz Tone Burst

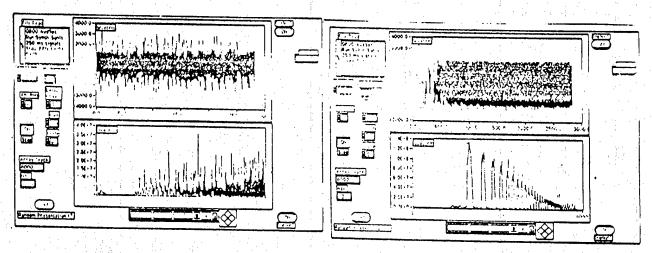


Figure 2. Noise Burst

Figure 3. Pulses

Figure 4. Sweep 300 - 8000 Hz

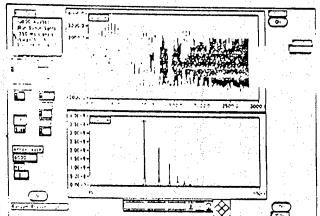


Figure 5. Sweep 300 - 1500 Hz

have strongest representation at the highest frequencies and thus, one can expect that elevation might be best perceived for these icons and less so for the tone burst and the 1.5 kHz Sweep. One subject, MP, had difficulty reporting the two sweep stimuli correctly, but also confused the 500 Hz sine with 1.5 kHz sweep stimulus.

4.2 Presentation

The presentation program, written with LabVIEW, contained selectors which chose, on a random basis, the azimuth, elevation and icon to be presented on a given trial. The icon was output, via a 16-bit D/A converter (National Instruments, A-2100) to the 3-D Audio Generator instrument from Systems Reseach Lab. Also output were the azimuth and elevation at which the icon was to be presented. After the presentation was completed, the program waited for the information from the head-tracker (Polhemus Iso-tracker), then stored it in a file, previously established for that listener and set of conditions. Each trial began with a zero-gaze to establish the reference for that trial. The signal was then presented and the listener oriented toward the virtual source. The head position was read and then the listener indicated identification of the target angle, the identification of the icon, and the confidence rating with a key on the number pad. Following the number-pad entry, the next trial began.

4.3 Training

All listeners received similar training experience. The initial exposure to the virtual sources was on the horizon at azimuths of 270, 315, 0, 45 and 90 degrees. Only the NBurst icon was used. The listeners became accustomed to the changes in the sounds presented through the earphones as they turned their head to orient toward the virtual source. It was during these trials that the listeners learned to point their nose at the sound and not their eyes. After satisfactory performance at these azimuths, locations at 135° and 225° were added. These locations required the listeners to turn their body toward the rear of the room in order to get the head orientation correct. The rotating stool was important for locating these azimuths.

As the performance of the listeners improved for the full range of azimuths, elevations were added to the sequence of randomly presented source locations. The head orientations to the elevations, 45° (above the horizon) and 135° (below the horizon) proved to be more difficult than to point at azimuths. The presentation program was modified so that the listeners could be informed about the elevation to be presented. This allowed them to relate the perception to the angle presented. The program could also inform the listener when the head orientation was correct. In this way, the listeners were trained with feedback. Following this training, carried out with the NBurst icon, the other icons were included in the trial sequence. In the test trials, for which the results are reported below, no information about the virtual source location was presented.

5.0 RESULTS

5.1 Category Judgments

5.1.1 Azimuth

Early in the course of the training, listeners were asked to indicate the location of the virtual source by striking a key on the number pad. Figure 6 shows the association between category numbers and the azimuth location. The number "1" was not used nor

was it chosen as a virtual source. As previously reported listeners had little difficulty in the identification of azimuth location. This strategy was particularly helpful in encouraging the subject to turn the head rather than using eye movements to look at the virtual source. It also helped the experimenter to know that the perception of the location was better than the head orientation indicated, particularly at the beginning of training for the listeners. Table I shows the relative probabilities for assigning categories to the azimuth positions.

5.1.2 Identification

The listeners had little difficulty in identifying the icons as they were presented. By the second day of exposure to the five icons, identification was highly accurate. The listener's instructions were to associate the NBurst with the numeral "1", the 8kSweep with "2", the TBurst with "3", the 1.5 kSweep with "4", and the Pulses with "5". The transition from using the number pad for azimuth "name" to using it for icon "name" was practically immediate. Table II shows the matrices for the identifications made by each listener.

5.1.3 Confidence Ratings.

The use of the confidence intervals by the listeners was not very informative. The numbers were not used well, i.e., the listeners tended to use the middle range most frequently and not to differentiate among the full range. The data from one listener were analyzed in some detail, particularly with respect to whether poor confidence ratings correlated with front-to-back reversals and there was some indication that this was the case. However, the number of reversals for the other listeners was not great enough to test that relation.

5.1.4 Ancillary Data.

Two additional sets of data were obtained on the listeners. They self-administered a LabVIEW-based audiogram and they also visited the University of Texas Speech Science Dept. to have impedance measurements of the left and right ears made and evoked acoustic emission recorded and analyzed. Table III shows the decibels (re one millivolt) for the audiograms. (Note: the audiograms were self-administered in a quiet office). The greatest interaural difference was 5 dB for listener AT. This listener performed poorly for elevation and some azimuths, however, listener RK, the best-performing subject, showed a 4 dB difference, also at 4 kHz. The evoked emissions showed some upper frequency differences for both listeners. Ear molds were made of each subject, however, we have not yet attempted to carry out an analysis of what appear to be substantial differences among them.

CATEGORIES

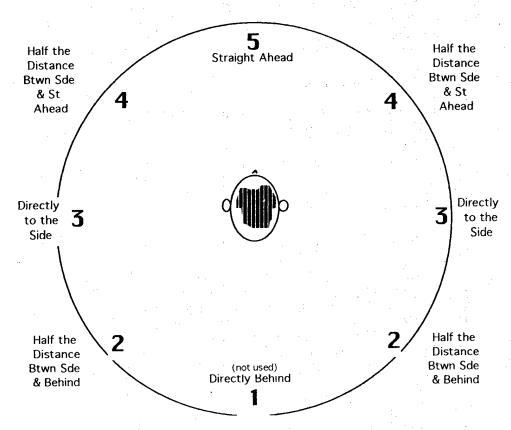


Figure 6. Category Judgement

	RELATIVE PROBABILITIES FOR CATEGORY ASSIGNMENTS (1 week)												
Degrees	Degrees												
	0	45	90	135	225	270	315						
Listeners	Listeners												
rk .													
kb	1	1	1	0.8	0.6	0.4	1						
mp	0.9	0.85	1	0.9	0.9	0.7	0.73						
at													
bw	0.9	0.6	0.9	1	1	0.9	0.87						

Table I. Relative Probabilities for Category Assignment

```
10/3/94 (E1,5) mp 10/3/94 (E1,5) Response#
                   3 4 sum
                               0 1
                                           3 4 sum
                2
                                        2
  Signal#
                                                 21 (200)
0 (noise burst) 22
                    0
                          22
                                  21
              0
                0
                                     0
                                         0 0
                               0
                                         0 10
                                                 20
1(Swp\ 0.3-8k)
           0
              19
                1
                    1
                       0
                          21
                                   0
                                     10
                                1
                                         0 20
2 (.5k burst) 0
              0 21
                    0
                       0
                          21
                                   0
                                    0
                                                 21
3 (Swp .3-1.5k) 0
              0 0 21
                       0
                          21
                                   0 6
                                         1 12
                                                 19
             0 0 0 21
4 (Pulses .5k) 0
                                   0 0
                                                 17
                          21
                                        1
                                           0 16
                         (E2,1) mp
              10/5/94
                                         10/5/94
                                                   (E2,1)
                    Response#
                2
                   3
                                  0
                                     1
                                        2
                                           3
                                             4 sum
  Signal# 0
             1
                      4 sum
                                         0 0
                               0 21 0
0 (noise burst) 22
              0 0
                   0
                      . 0
                         22
                                              0 21
 1 (Swp .3-8k)
                                        2
                                           2
          0
             21 0
                  0
                       0
                         21
                                   0 15
                                1
 2 (.5k burst) 0
              0 21
                   0
                       0
                                        21 0
                          21
                                   0
                                     0
                                                 21
                                2
3 (Swp .3-1.5k)
              1 1 21
                       5
           0
                          21
                                   0
                                     1
                                         0 20
                                                 21
4 (Pulses-.5 k)
          0
              0 0 0 21
                         21
                                   0
                                     0
                                         1
                                          0 17
           0 0 0 0 22
20 1 0 0 21
0 21 0 0 21
0 0 20 1 21
2 0 0 19 21
0 (noise burst) 22
             0 0 0 0 22
 1 (Swp .3-8k)
          0 20 1 0 0 21
 2 (.5k burst)
           .0
3 (Swp .3-1.5k)
          . 0
   4 (Pulses 0 2 0 0 19
          10/3/94 (E1,5) wb 10/3/94 (E1,5)
           0 1 2 3 4 sum - 0 1 2 3 4 sum -
O(noise burst) 22
             0 0 0 0 22
                                0 21 0 0 0 0 21
3(Swp .3-1.5k) 3 0 0 18 0 0
                         21 3 0 0 0 21 0
                                                21
          0 0 0 0 0 18
                                                 20
4(Pulses .5k)
                         18 - . . . . 4 - . 0 - 0 . . . 1 . . 1 - 18
       at 10/7/94 (E3,1) wb
                                       10/5/94 (E2,1)
             1 2
                   3 4 sum
                                          3 4 sum
                                  0
                                    1
                                       2
                           . · · · · 0 21
O(noise burst) 21
                0 0
                      1 22
                                          0 0 21
             0
                                     0
1(Swp .3-8k) 1 18 1 1
                      0 21
                           1
                                 0
                                     21 0
                                          0 0 21
                           2 0
2(.5k burst) 0 0 21 0 0 21
                                       21
                                          0
                                    0
                                             0 21
                              3 0
3(Swp .3-1.5k)
           0 1 0 19
                      1 21
                                     0
                                       0 20
                                              0 20
           0 0 0 0 19 19
4(Pulses .5k)
                              4 0 0
                                       0
```

Table II. Identification (Confusion Matrix)

more production of the local control of the control

Listener	rk		k	kb		ı <u>p</u>	2	<u>ıt</u>	<u>b w</u>		
	Lft_	Rght	Lft -	Rght	Lft —	Rght	Lft	Rght	Lft	Rght	
Volume(cc)	0.7	0.9	0.9	8.0	1.6	1.7	0.7	0.5	1.0	1.0	
Hearing	(dB re					•					
Level	1 mv)										
Frequency											
2 50	60	61	62	69	71	34	69	65	44	46	
500	48	48	55	60	58	49	53	52	37	37	
1000	38	38	35	34	35	34	35	34	35	35	
2000	38	35	35	35	35	34	35	35	35	35	
3000	38	34	35	34	34	34	35	34	35	34	
4000	44	40	35	35	35	35	47	42	40	37	

Table III. Canal Volume and Hearing Level

The data for localization, i.e., head-pointing, are summarized in Figs. 7 through 11 and show the performance of each listener on the three test trials (The tables with the raw data used to construct these figures are given in Appendix A). Figures 7.1a - 11.1a show the relation between the azimuth angles averaged over the three elevations and the three runs. Each data point includes six values. The angle, 180°, is included in order to keep the slope of the relation between judged and presented angles at 1.0, indicated by the arrow added to the panel. Figures 7.1b - 11.1b show the average error in elevation judgements, summed across azimuth angles. Each point includes 21 values (seven azimuth angles and three runs). Below Panel B the tables show the values represented graphically in the panels. Figures 7.2a-c to 11.2a-c shows the vector angle of error for azimuth at each elevation and Figures 7.2d-f to 11.2d-f show the dispersion for the vector angle of error.

The average azimuth responses were more accurate than the average elevation responses. This result supports the results from the category judgements of the perceived azimuth angle. At the time of the test runs, the frequency of occurrance of reversals had decreased considerably. For the two most accurate listeners (Figs. 7.1a and 8.1a) the average azimuth localization was practically independent of icon. For the remaining listeners, the differences among icons was larger, but still not very great. Listener BW (Fig. 11.1a) was small in stature and probably her pinnae were much smaller than the pinnae on which the Head Related Transfer Functions were based. The most accurate subject (RK, Fig. 7.1a) was the tallest of the five listeners. However, I know of no anthropometric data that relate height to pinna size. The three less accurate listeners also showed a larger azimuth error at 315°, i.e., 45° left of center, than the two more accurate listeners.

The average elevation responses show a bias toward 135°, i.e., 45° down, toward earth, when the stimulus was presented at 90°, i.e., horizontal. Three listeners (Figs. 9.1b -11.1b) agreed closely with the horizontal presentation, and one other (Fig. 8.1b) showed a single aberrant point for the 8kSweep icon. Four of the five listeners responded to the TBurst icon with a more extreme value, i.e., with an error between 40 and 50 degrees. In general, elevation responses showed large errors.

5.1.5 Angle of Error

The angle of error and κ^{-1} were calculated with the procedures described in Appendix B. These figures are derived from spherical coordinates in which azimuth and elevation angles are considered together rather than separately, i.e., κ (for azimuth) and κ (for elevation) coordinates. The use of spherical coordinates does not require the assumption that azimuth and elevation errors are independent, but considers the response as a direction indicator. A direction can be consistently deviant from the target, indicating that the perception of direction was due to a systematic error, in which case κ^{-1} would be small. Conversely, a calculated angle of error could be due to wide variation across the three runs, in which case κ^{-1} would be large, indicating that the listener was inconsistent in the perception of target location. The two measures are used together.

Inspection of Figs. 7.2a-f to 11.2a-f for each of the five listeners shows that the two measures can vary independently. For example, the κ^{-1} figures for listener RK showed a high consistency for localizations of the NBurst and the Pulses icons and great inconsistency in the localizations for the 8 kHz Sweep icon. In this example, the angle of error for the 8 kHz Sweep icon was large, i.e., the two measures varied together. Localizations for the other icons, TBurst and 1.5k Sweep, also showed large angles of error and variability. For listener MP, Figs. 9.2a and 9.2d, however, the dispersion at 45-elevation was small, but the angle of error was large. Some target angles were less accurately localized than others. In particular, responses to the target angle at 315-were most variable for all three elevations. Among the three elevations, the most variable was 45-, above the horizon. The elevation below the horizon, at 135-, was the least variable.

The greatest dispersion among subjects was found for listener AT, Fig. 10.2a, in the responses to 45° elevation. The data suggest that this listener did not discriminate the elevation angle. Best performance was for the 135° elevation for azimuths less than 90° , a rather restricted range of capability. For the latter angles, the consistency of responses was fairly good, indicating that the perceptions repeated for repeated presentations of those conditions. Listener MP, Fig. 9.2a-c, showed good consistency in the responses, but showed notable peaks of inconsistency at 315° and 135° azimuth, and the angles of error, particularly for 45° elevation, were substantial. This combination suggests that the perceptions were stable, but for the noted exceptions. Listener KB, Fig. 8.2a-f, was most consistent for the elevation at 90° , and even there showed some extremes, but showed large variability at the two elevations. However, the angles of error for the two elevations were smaller than for some of the other listeners. Listener BW, Fig. 11.2a-f, was also variable in her responses, but showed particular regions of uncertainty more clearly than some of the other listeners. The data show that listeners had particular difficulty at an azimuth of 225° at the 45° and the 135° elevations. Both the angle of error and κ^{-1} are large for this listener, indicating great uncertainty about the perception of the target at that location.

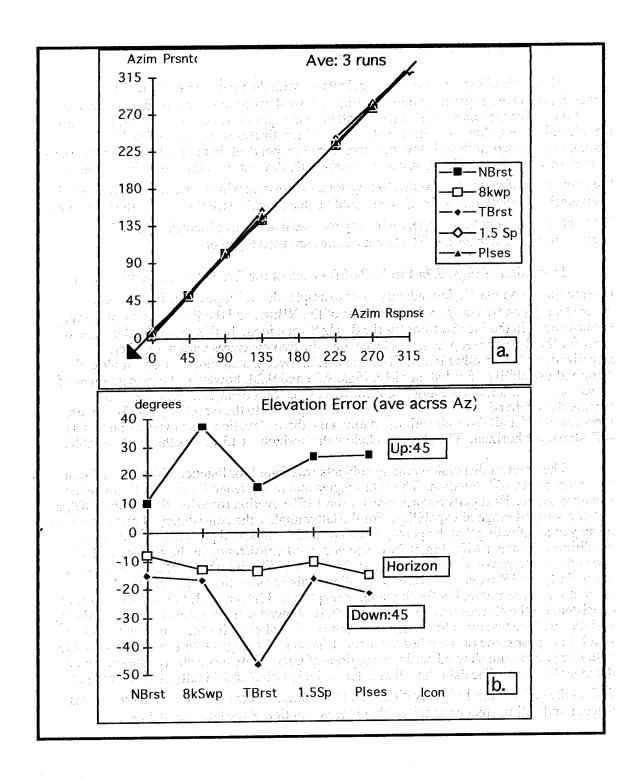


Fig. 7.1a. Average Response Across Elevation (Listener RK - 3 runs)
Fig. 7.1b. Average Error Across Azimuth (Listener RK - 3 runs)

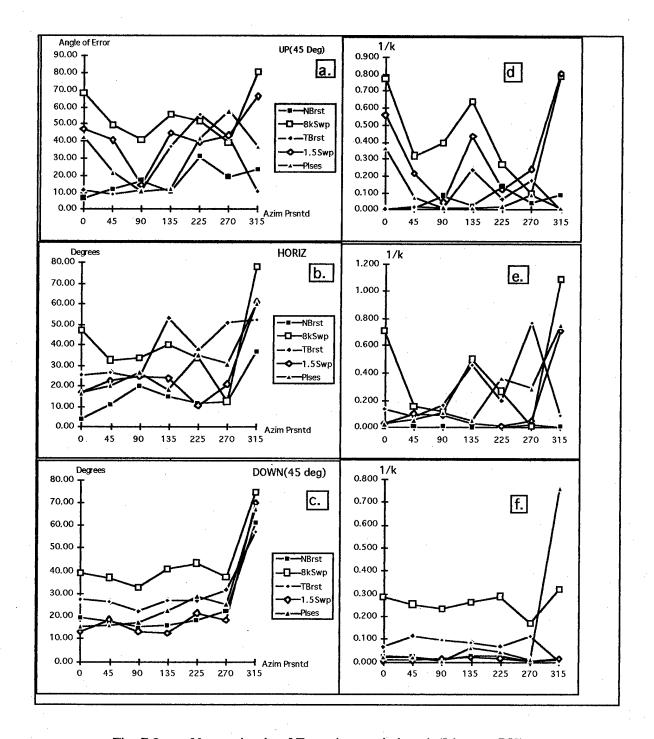


Fig. 7.2a-c. Vector Angle of Error Across Azimuth (Listener RK) Fig. 7.2d-f κ^{-1} (Variability) Across Azimuth (Listener RK)

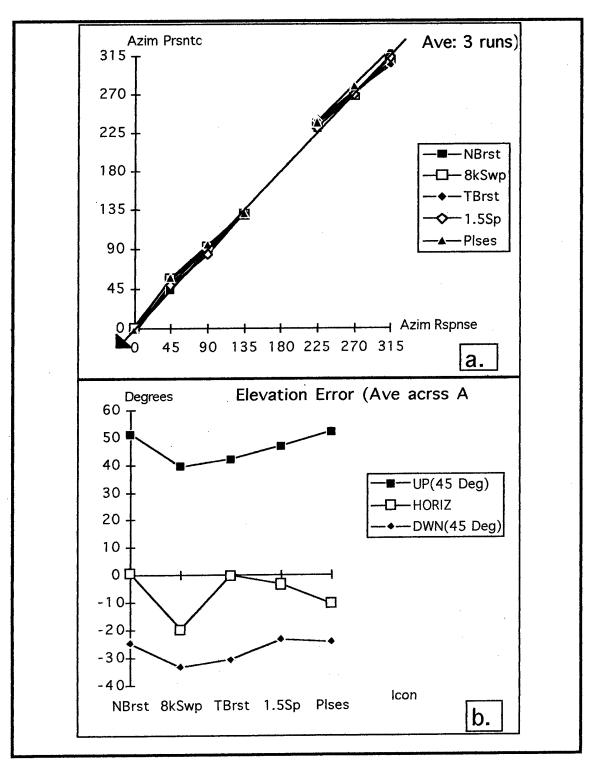


Fig. 8.1a. Average Response Across Elevation (Listener KB - 3 runs) Fig. 8.1b. Average Error Across Azimuth (Listener KB - 3 runs)

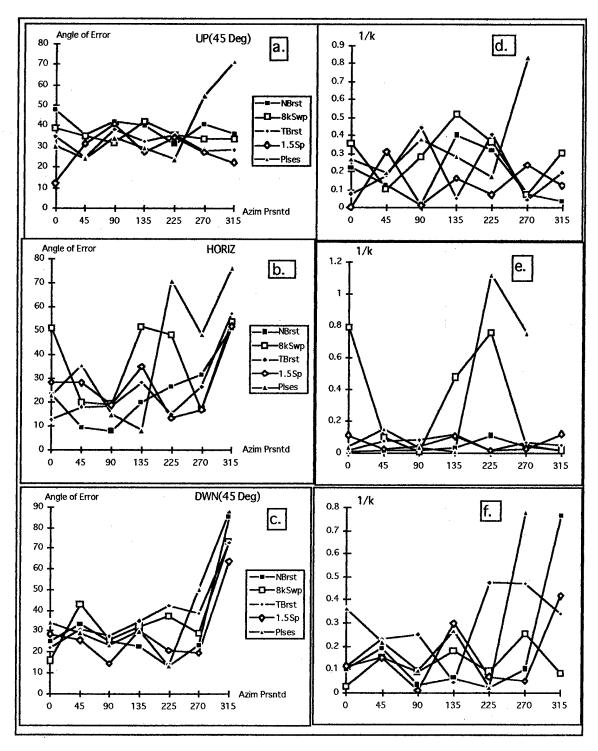
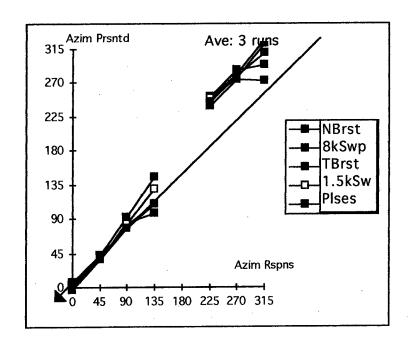


Fig. 8.2a-c. Vector Angle of Error Across Azimuth (Listener KB) Fig. 8.2d-f κ^{-1} (Variability) Across Azimuth (Listener KB)



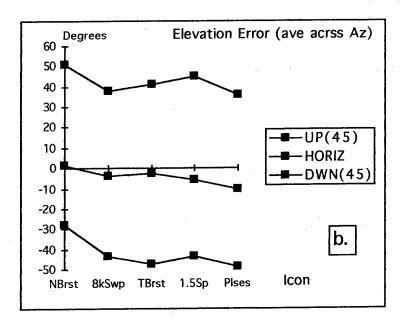


Fig. 9.1a. Average Response Across Elevation (Listener MP - 3 runs) Fig. 9.1b. Average Error Across Azimuth (Listener MP)

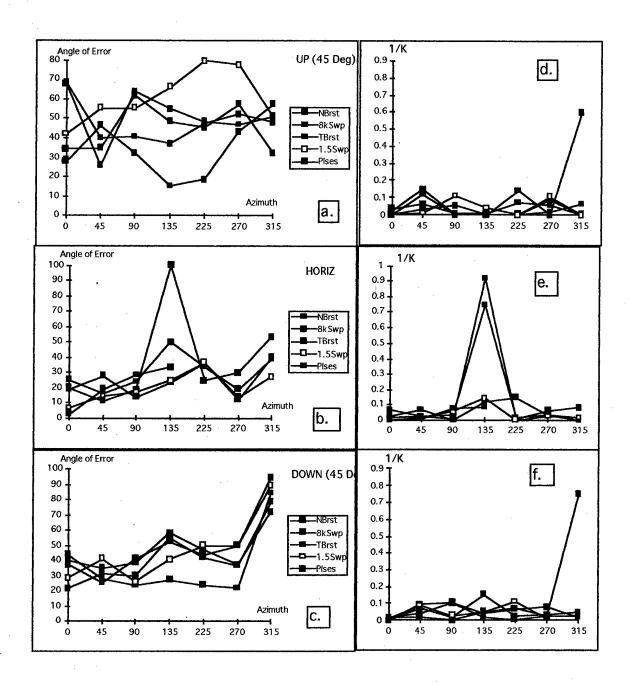


Fig. 9.2a-c. Vector Angle of Error Across Azimuth (Listener MP) Fig. 9.2d-f. κ^{-1} (Variability) Across Azimuth (Listener MP)

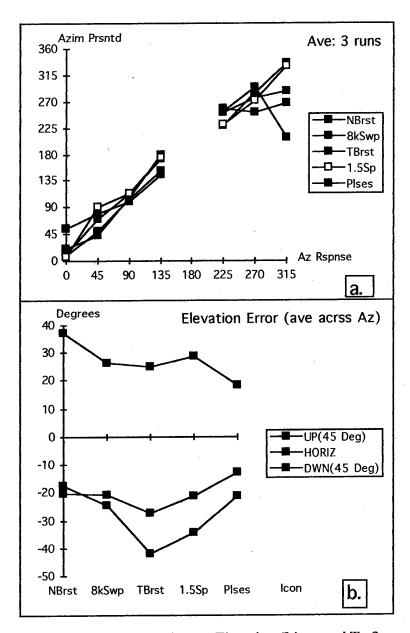


Fig. 10.1a. Average Response Across Elevation (Listener AT - 3 runs) Fig. 10.1b. Average Error Across Azimuth (Listener AT - 3 runs)

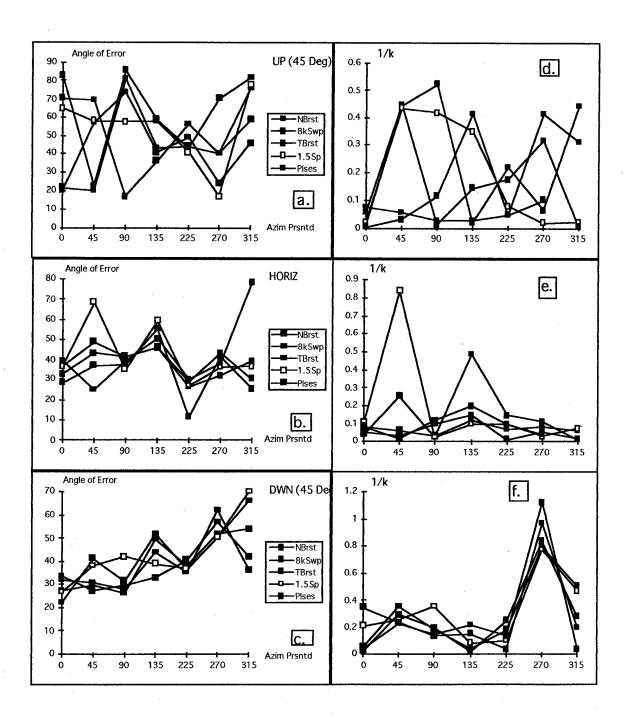


Fig. 10.2a-c. Vector Angle of Error Across Azimuth (Listener AT) Fig. 10.2d-f κ^{-1} (Variability) Across Azimuth (Listener AT)

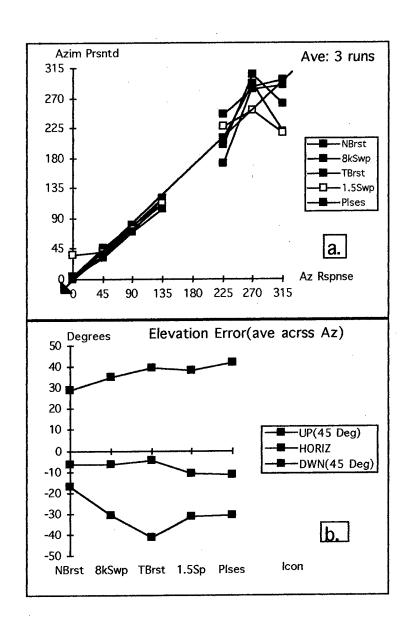


Fig. 11.1a. Average Response Across Elevation (Listener BW - 3 runs) Fig. 11.1b. Average Error Across Azimuth (Listener BW - 3 runs)

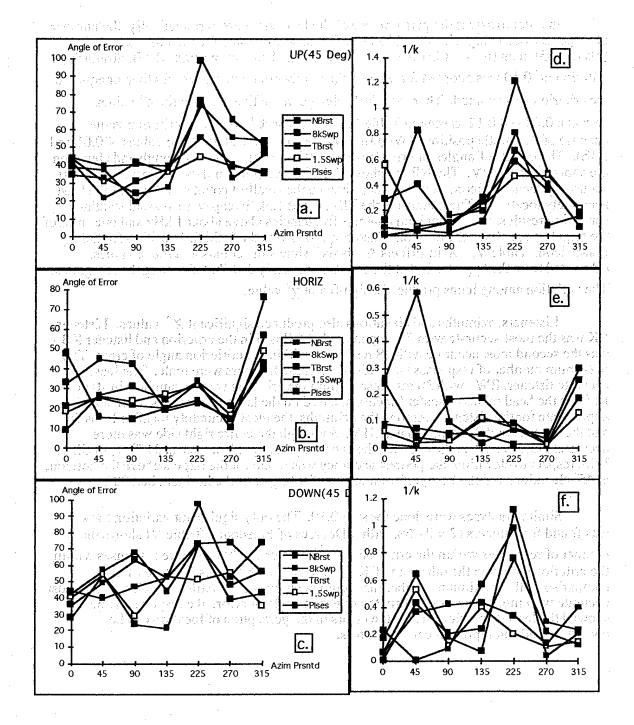


Fig. 11.2a-c. Vector Angle of Error Across Azimuth (Listener BW) Fig. 11.2d-f κ^{-1} (Variability) Across Azimuth (Listener BW)

In order to assess the performance of the listeners more systematically, the number of responses occurring within a 30° angle of error was counted for each listener (max.=105), icon (max.=105), azimuth (max=75) and elevation (max.=175). Similarly, a criterion of 0.10 was adopted for κ^{-1} and the number of occurrances less then or equal to the criterion was counted. There was little change in relative position for κ^{-1} values between 0.08 and 0.12 as seen in Table IV. We chose $\kappa^{-1} = 0.10$ as a criterion value because of its middle position. (Wightman and Kistler (1989) illustrate values of 0.01 and 0.18). The counts of angles of error less than 30° for icon, subject, azimuth and elevation are shown in Table IV. The NBurst showed the largest count and therefore was the most accurately localized icon. The 8k Sweep showed the smallest count and thus was the least accurately localized. The counts for the TBurst and 1.5k Sweep were also substantial, a surprising result since neither icon contains frequencies above about 1 kHz and one-third of the targets were above the horizon. Also surprising was the "middle" position for the Pulses icon. Table V, "Main Effects Analysis", shows the counts and the 2 values, calculated from the hypothesis that the counts are equally distributed among the categories. The variation among Icons produced a significant χ^2 value.

Listeners, azimuths and elevations also produced significant χ^2 values. Listener RK was the most accurate with 57 responses that fell within the criterion and listener KB was the second most accurate with 48 responses within the critierion angle of error. The maximum number of responses was 105. Both these listeners were male. The least accurate listener, BW, with 30 responses within the criterion was the smallest in stature. Perhaps the head related transfer functions, based on the large Kemar ears, were least appropriate for this listener. Among the azimuths, the most accurately localized was 90° and the least accurately localized was 315° . Although the entire right side was more accurately localized than the left side, the extremely poor performance at 315° is puzzling. With respect to elevation, the poorest accuracy was found for the targets above the horizon, at 45° elevation, and the best performance was for targets at the horizon, i.e., 90° .

Similar analyses were done for κ^{-1} = 0.10. The only significant variation for κ^{-1} was found for listeners (2 = 21.68, with 4 Degrees of Freedom). Table VI shows the number of responses within the criterion for κ^{-1} . Listener MP had more responses within the criterion (88) than the others and RK was the next with 63. As for the earlier comparisons, the maximum number that could occur was 105. Although RK was the most accurate with more responses within the criterion angle of error, the responses from MP showed the least dispersion. Thus, the errors in the perception of location can be consistent and can represent constant errors.

/k<0.12																				Acrss S	Subj's	Γ
	rk				kb				mp				at			t)W			SUM		I
	45		135		45		135		45	90		<u> </u>	45	90	135		45	90	135	45	90	1
NBrst .	6		7		3		5		7	6			3	6	2		1	4	1	20		
8k swp	1	2	0		2		4		6	6	L		3	7	2		5		. 0		24	
TBrst	5	2	7		3		2		7	6			5	3	2		3		3		24	
.5Swp	2		7		3	7	4		7	6	7		4	6	2		2	6	3	18	31	
olses	6	4	6		0		2		4	5	6		4	4	1		3	4	1	17	20	
um	20	21	27		11	28	17		31	29	33		19	26	9		14	25	8			T
																				140	219	2
						\vdash				_												╀
																						t
k<0.10	rk				k b				np				at				W XW			SUM		F
	45	90	135		45	90	135		45	90	135		45	90	135	一「	45	90	135		90	ŀ,
Brst	6	7	7		3		3		7	6	- 133		3	- 6	2		1	4	133	20	29	
k swp	1	1	Ö		1		4	-	6	6	6		3	6	2		4	4	1	15	21	
Brst	5	2	5	-	3			- 	7	5	6		5	3	2	-	3	6	3	23	22	
,5Swp	1	6	7		3		3	-	5	6	6		4	5		\dashv	1	5	2	14	26	Ł
lses	6	3	6		0		2		4	5	6		3	3	·		2	4	1	15	18	
um	19	19	25		10	1	13		29	28	30		18	23	8		11	23	8	1 7		╀
3		- 13							- 23	- 20	30		10	-23				23	•	132	206	1
																\dashv						F
/k<0.08																_						F
	rk		\dashv	-	kb			r	np				at			- b	w	-		SUM		Ͱ
	45	90	135		45	90	135		45	90	135		45	90	135	_	45	90	135	45	90	ī
Brst	5	7	7		3	6	3		7	5	5		3	5	2	\dashv	1	4	0	19	27	
k swp	0	1	0		1	4	4		6	6	6		3	5	2		3	3	1	13	19	
3rst	5	1	3		3	6		- 1	7	5	. 6		5	3	2	-	0	5	3	20	20	L
5Swp	1	4	7		3		3		5	6	5		4	3	1	一十	1	5	1	14	22	٠.
ses	5	3	6		0		2		4	4	6		3	3	1	_	2	4	1	14	17	H
um	16	16	23		10		13	- 1	29	26	28		18	19	8	-	7	21	6	Ť		r
	$\overline{}$					1														125	195	۰

Table IV. Criterion Dispersions of Listeners, Icons, and Locations

ICON	NBrst	8kSwp	TBrst	1.5kSw	Pises			TOTAL	χ2	\$1.0
lo. <30 Deg	55	18	41	44	37	- 41	4	195	18.29 w/	4 DF
%(N=105)	. 52	.1.7	39	42	35		%(Nt=525)	37	$\alpha(.05) = 9.49$	
					salah Vis			es de la companya de		liste t
	: -	;	4.7							1 %
LISTENE	₹ rk	kb	mр	at	bw		1		1 11 21 21	l
lo. <30 Deg	. 57	48	37	37	30		4 100	209	10.88 w/	4 DF
%(N=105)	54	46	35	35	29		%(Nt=525)	40	$\alpha(.05) = 9.49$	
			1				1 1 1		<u> </u>	
		:					1 1	1	2 2	. : .
AZIMUTH	0	45	90	135	225	270	315		1.25 1.35	
lo. <30 Deg	37	37	41	26	22	26	6	195	30.78 w/	6DF
%(N=75)	49	49	55	35	29	35	%(Nt=525)	37	$\alpha(.05)=12.59$	
			į f					, :		
	, ,		14.							
ELEVATION	1 45	90	135						25.48 w/	2 DF
lo. <30 Deg	31	86	62	£.		,		179	$\alpha(.05)=5.99$	
%(N=175)	18	49	35				%(Nt=525)	34	1.2.2.	

Table V. Main Effects Analysis

	Nu	imber of C	riterion	Responses	
	Angle of Er	ror		Dispersion (1/k)	
	8	rank			rank
rk	. <i>5</i> 8	5		63	, 4
kb	51	4	1 - + + -	45	2
mp	37	3		88	5
at	22	1		51	3
b w	26	2		41	1.

Table VI. Relation of Angle of Error Versus Dispersion

6.0 SUMMARY

The overall hit rate for the number of responses (head-orientation) within the 30° angle of error for the criterion was about 37%. There were five listeners, and five icons presented at three elevations and seven azimuths for a total of 525 opportunities to match

the target (after the test set averaging to calculate the angle of error and κ^{-1}). Table VII shows the number of observations in the various partitions. In the set of 525 there were 194 correct responses. Within the 194 correct head orientations, there were significant variations in the number of criterion target localizations. The χ^2 statistic was calculated for icon, listener, azimuth and elevation (Table IV) and all exceeded $\alpha_{(.05)}$. The icon with the broadest spectrum, the noise burst, elicited the largest number of localizations within the criterion. Within the test set, there were 105 opportunities for the noise burst to be correctly localized by the listeners, and 53% were within the criterion. Only 18% of the localizations of the 8 kHz Sweep icon were within the criterion angle of error. Surprisingly, the correct responses for the pulses icon was 32% while the 1.5 kHz Sweep elicited 42% correct. Most of these correct responses occurred for the horizontal elevation, i.e., 90°.

Only 23% of the responses to the 45° elevation were correct. The horizontal elevation was much more likely to elicit a correct reponse than either 45° elevation, up or down. Also curious was the occurrance of but 8% correct responses for the azimuth at 315° . In general the right side, i.e., 45° and 90° , were more accurately localized than the left side, i.e., 270° and 315° . The most accurately localized azimuth was 90° and not 0° , which might have been expected.

The significant χ^2 for listeners may hold some implication for subject selection. The two more effective listeners were male and the less effective were female. The female listeners did especially poorly on the two elevations. Table V shows the number of criterion responses for angle of error and dispersion for each listener. The ranks for angle of error and dispersion are dissimilar, indicating some dissociation between the two measures. Although the rank differences fall short of significance, they can imply that the perceptions, even though not agreeing with the target, were nevertheless precise and may indicate that the errors in location are due to inappropriate head related transfer functions for those listeners.

Numbers of Observations for Conditions

Experimental Condition	÷ .			Number
Azimuth (45 deg. increments) Elevation (45 deg. increments)				73
Icons No. Observatioons/Run	S			5 105
Number of Listeners Runs in Test Set	4.0	:		5 3
Total Number of Responses				1575
Test Set Averaging (3 Runs)			Pagnangag/Azim	525 75
Comparison Numbers		:	Responses/Azim Responses/Elev Responses/Icon Responses/List.	175 105 105

Table VII. Number of Observations

7.0 CONCLUSIONS

In the Phase I effort, five acoustic signals were developed and presented to five listeners at seven azimuths and three elevations. Listeners could easily identify the signals but varied in their ability to localize them. The signals also differed in the variability and accuracy with which each listener localized them. The following conclusions summarize the Phase I research and provide a starting point for developing Phase II technical objectives.

- 1. Both spectral and temporal features of acoustic signals are important determiners of their efficacy for use as icons to indicate the location and nature of events. Even though the spectra of two signals may be similar with respect to power within a given frequency region, their temporal features may also contribute to the precision of their localization, and certainly to their recognition.
- 2. The differences among listeners, particularly with respect to estimating elevation, may be reduced by using Head Related Transfer Functions (HRTFs) measured for each listener. In Phase I the best performance occurred for signals presented at the horizon and the greatest error occurred for signals presented at -45°, i.e., below the horizon. Since elevation is dependent on the configuration of the listener's external ear, the synthesis of virtual sources may be best when one's own HRTF is used.
- 3. The signal that showed the best localization was a broad band noise burst. Frequency representation was continuous for this signal from about 300 Hz to 10 kHz. Spectral measurements in the auditory canal (Wightman and Kistler, 1989a) show frequency f dependent variations in the 6-10 kHz frequency region as a function of speaker elevation. Although all signals were presented with abrupt rise times and, therefore, contained energy over a broad spectral range, the most prominent representation of frequencies in the 6-10 kHz frequency region occurred in the noise burst. The HRTFs capture the relation between elevation and frequency. When the signal has insufficient representation in the 6-10 kHz region, with respect to the energy below 3KHz, the listener is unable to resolve the virtual elevations.

REFERENCE

Wightman, F.L. & Kistler, D.J. (1989) Headphone simulation of free-field listening. II: Psychophysical validation, J. Acoust. Soc. Amer., 85 (2), pp. 868-878.

APPENDIX A

Data for Listener **RK**

Azimı	uth (ave. acros	s elevatio									,
		NBrst	8kwp	TBrst	1.5 Sp	Plses						
	0	6	3	9		. 7						
	45	53	50	50	50	52						
	90	100	100	101	100	100						
	135	142	141	152	142	146						
	180											
	225	231	231	240	232	234						
					278	278						
	270	277	277	283				٠.				
	315	326	324	324	322	326		-				
Flev(:	ave a	icrss Az)										
LICV	3 V C E	10133 (12)	NBrst	8kSwp	TBrst	1.5Sp	Pises					
	LID	(45 DEG)	10.19	37.095	15.667	26.143	26.57143					
	UP			-13.143	-13.762	-10.667	-15.52381					
			-8.0952									
	DWN	(45 Deg)	-15.381	-17.048	-46.81	-16.857	-21.95238					
		Angle of	Error tor A	zimuth				Variability (1/k			
UF	•						UP					
٥.		NBrst	8kSwp	TBrst	1.5Swp	Plses		NBrst	8kSwp	TBrst	1.5Swp	Pises
	0	6.62	67.89	11.16	46.93	42.30	0	0.002	0.771	0.004	0.556	0.363
	45	11.67	49.24	8.62	40.46	21.66	45	0.011	0.318	0.013	0.212	0.073
	90	16.88	40.51	11.09	14.18	10.47	90	0.082	0.393	0.012	0.044	0.011
					44.68	12.09	135	0.022	0.639	0.233	0.433	0.009
	135	10.45	55.72	36.85			225	0.022	0.268	0.061	0.118	0.019
	225	30.96	51.53	55.44	39.23	41.88						
	270	19.13	39.23	42.11	43.32	57.55	270	0.038	0.096	0.171	0.239	0.087
	315	23.43	80.74	10.45	66.31	36.91	315	0.087	0.786	0.003	0.801	800.0
ног	217						HORIZ					
HUI		MD	OLC	TBrst	1.5Swp	Plses	1101112.	NBrst	8kSwp	TBrst	1.5Swp	Plses
		NBrst	8kSwp		16.52	16.40	. 0	0.001	0.708	0.137	0.029	0.025
	0	3.91	46.99	25.20		20.10	45	0.003	0.150	0.072	0.099	0.055
	45	11.04	32.35	26.40	22.33			0.003	0.111	0.160	0.084	0.106
	90	19.63	33.38	24.02	24.31	26.60	90			0.457	0.033	0.052
	135	14.51	39.57	52.99	23.52	18.14	135	0.001	0.497		0.009	0.360
	225	11.49	3 3.58	37.46	10.47	34.89	225	0.003	0.265	0.196		
	270	12.07	12.32	5 0.57	20.83	30.57	270	0.022	0.007	0.767	0.048	0.287
	315	36.38	77.89	52.28	60.75	60.09	315	0.003	1.087	0.083	0.710	0.750
DO	NN						DWN					
501		NBrst	8kSwp	TBrst	1.5Swp	Plses		NBrst	8kSwp	TBrst	1.5Swp	Plses
			38.82	27.24	12.90	15.52	0	0.026	0.282	0.063	0.006	0.019
	0	19.27				15.93	45	0.022	0.251	0.113	0.006	0.019
	45	17.40	36.95	26.19	18.45	17.28	90	0.002	0.232	0.094	0.014	0.003
	90	15.10	32.58	21.95	13.25			0.025	0.232	0.034	0.020	0.061
	135	15.58	40.56	26.76	12.52	22.37	135		0.286	0.067	0.020	0.044
	225	18.28	43.33	26.70	21.33	28.84	225	0.022				0.009
	270	21.98	37.15	31.77	18.16	25.30	270	0.003	0.169	0.111	0.003	
	315	60.96	74.52	57.12	69.98	67.32	-315	0.009	0.320	0.004	0.012	0.760

Data for Listener KB

```
NBrst
              8kSwp TBrst
                             1.5Sp
     0
          -1.44
                  0.33
                        -5.11
                                -7.11
    45
         43.67
                 55.33
                        50.00
                               48.00
                                       56.56
    90
         86.33
                91.22
                        90.67
                               83.00
                                       94.00
    135
        130.56
               129.00
                       130.11
                              128.67
                                     130.00
    180
                       238.56 230.22
    225
       229.78
               234.11
    270 269.78
               268.22 272.11 268.22
   315 311.50 308.22 303.44 312.44 322.33
Elev Error (ave. acrss Az)
              NBrst
                     8kSwp TBrst
                                   1.5Sp
                                            Plses
     UP(45 Deg)
                51.00 39.24 41.86
                                     46.57 51.76
         HORIZ
                0.52
                       -19.86
                               -0.14
                                      -3.48 -10.52
    DWN(45 Deg)
               -24.67
                       -33.38
                              -30.86
                                     -23.33
                                             -24.21
    Angle of Error for Azimuth
                                                   Variability (1/k)
UP
                                           UP
              8kSwp TBrst 1.5Sp
                                   Plses
                                                   NBrst 8kSwp TBrst
                                                                        1.5Sp
       NBrst
                                                                                Pises
     0 47.575 38.724 34.778 11.873 30.095
                                                0 0.2229 0.3541 0.0761 0.0024 0.2682
    45
        35.575 34.867
                                                45 0.1239 0.1003 0.1799 0.3077 0.1923
                        24.77 31.231
                                     24.124
       42.019 31.673
                       38.091 40.809
                                     34.112
                                                90 0.0179 0.2822 0.4411
                                                                          0.0126 0.3784
        40.288 41.816
                       32.343 27.308
                                     29.315
                                               135
                                                   0.4021 0.5153 0.0522
                                                                          0.1614
                                                                                  0.285
   225 31.316 35.497 35.616 34.185
                                     23.656
                                               225 0.3191 0.3649 0.4039 0.0708 0.1735
                33.37 27.881 27.091
                                               270
                                                    0.077 0.0751 0.0432 0.2371
   270 40.801
                                     54,692
                                                                                 0.8318
   315 35.877 33.575 28.205 22.068 71.336
                                               315 0.0344 0.3019 0.1965 0.1234
HORIZ
                                           HORIZ
                            1.5Sp Plses
      NBrst 8kSwp TBrst
                                                   NBrst 8kSwp TBrst
                                                                        1.5Sp
                                                                                Pises
     0 23.054 50.926 12.493 28.209 22.839
                                                 0 0.0042 0.7858 0.015 0.1077 0.034
    45 9.3563 19.701 17.993 28.135 35.471
                                                45 0.0111
                                                            0.095 0.0709 0.0216 0.1442
    90 7.8893 19.048 18.166 18.675 14.739
                                                90 0.0102 0.0042 0.0759 0.0376 0.0325
   135
        19.731
               51.637
                       28.351
                              34.788
                                     8.1392
                                               135 0.0282 0.4738
                                                                  0.1129
                                                                          0.1032
                                                                                 0.0042
                       14.732 13.297
   225
        26.437
                48.33
                                     70.836
                                               225
                                                   0.1068 0.7502
                                                                  0.0101
                                                                           0.013 1.1179
        31.63 16.895 26.476 16.855
   270
                                               270
                                                   0.037  0.043  0.0631  0.0238  0.7501
                                     48.24
   315 51.098 53.623 57.272 51.886 76.205
                                               315 0.0164 0.0151 0.0479 0.1156
                                          DWN
DWN
                                    Pises
                                                         8kSwp TBrst
                                                                        1.5Sp
       NBrst
             8kSwp TBrst
                            1.5Sp
                                                  NBrst
                                                                               Plses
                                                 0 0.1013 0.0248 0.1128 0.113 0.3597
     0 24.932 15.714 21.928 28.436 34.225
    45 33.126
               42.789 30.692 25.396
                                     29.198
                                                45
                                                   0.1895 0.1483 0.2313 0.1484 0.2172
    90 25.343 25.828
                                     23.201
                                                90
                                                   0.0329 0.0891 0.2498 0.0092 0.0942
                      27.288 14.299
       22.246 32.215
                      34.693 30.043
                                      29.87
                                               135
                                                     0.062
                                                           0.1769
                                                                  0.0433 0.2964 0.2656
        13.119 36.774
                       42.058 20.629
                                     12.937
                                               225
                                                   0.0189
                                                             0.09 0.4719 0.0666 0.0204
   225
        23.12 28.756
                       38.312 19.247
                                     50.307
                                               270 0.1018 0.2533 0.4687 0.0493 0.7774
                                               315 0.7619 0.0798 0.3375 0.4155
   315 85.515
                73.09
                       72.544 63.609
                                     87.859
```

Data for Listener MP

0 45 90 135	NBrst -1 43 93 145	8kwp 3 40 81 110	TBrst 7 37 85 98	1.5 Sp -3 38 83 130	Plses -1 36 77 109						
180 225 270 315	240 274 273	250 276 323	252 287 293	249 282 327	243 279 309					1.	
Elev(ave acrss Az) NBrst 8kSwp TBrst 1.5Sp Plses											
UP(45) HORIZ DWN(45)	NBrst 51.095 1.619 -28.048	8kSwp 38.048 -3.8095 -43.81		1.5Sp 45.238 -6.0476 -43.333	36.19 -10.389						
up Error for Azimuth						up	1/k				
0 45	NBrst 27.771 46.278	8kSwp 67.688 40.131	TBrst 34.367 34.577	1.5Swp 42.064 55.366	Plses 67.578 25.853	0 45	NBrst 0.0004 0.036	8kSwp 0.0304 0.1471	TBrst 0.0341 0.0665	1.5Swp 5E-05 0.0093	Plses 0.0022 0.1202
90	32.295	40.683	61.41	55.239	63.567	90	0.0517	0.0006	0.0146	0.1111	3E-05
135	15.146	36.617	48.592	66.356	54.64	135	0.0026	0.0017	0.011	0.0402	0.0078
225 270	18.467 43.258	47.368 52.358	45.035 57.191	79.577 77.465	48.499 46.752	225 270	0.0047 0.0879	0.0046 0.0151	0.0707 0.0587	0.002	0.1429
315	57.559	47.735	31.866	51.221	50.917	315	0.0062	0.0628	0.005	0.002	0.5962
Horiz						Horiz					
	NBrst	8kSwp	TBrst	1.5Swp	Plses		NBrst	8kSwp	TBrst	1.5Swp	Plses
0	19.951	25.241	19.032	6.6354	2.4503 19.362	0 45	0.0134 0.0183	0.0637 0.0201	0.0285 0.0677	0.001 0.0108	0.0002 0.0046
45 90	11.423 18.979	15.78 24.008	28.23 13.712	14.499 17.515	28.706	90	0.0036	0.0251	0.0077	0.0507	0.0753
135	99.754	50.111	23.212	24.343	33.444	135	0.9216	0.75	0.1196	0.1407	0.0882
225	24.476	33.949	36.143	36.374		225	0.0093	0.0152	0.1443	0.0007	
270	30.257	19.386	11.831	13.596	13.873	270	0.0603	0.0345	0.032	0.0292	0.0502
315	53.445	39.401	40.03	27.226		315	0.0814	0.0015	0.0102	0.014	
down						DWN	ND .	01.6	TD4	1	Dlasa
_	NBrst	8kSwp	TBrst	1.5Swp	Plses	0	NBrst 0.002	8kSwp 0.0164	TBrst 0.0061	1.5Swp 0.036	Plses 0.0165
0 4 5	43.743 28.006	39.712 35.632	21.958 31.48	28.701 41.544	37.335 25.055	0 45	0.002	0.0164	0.0081	0.036	0.0163
. 90	23.758	38.725	29.79	26.14	41.393	90	0.1024	0.1064	0.0162	0.0929	0.0003
135	27.244	57.912	55.124	40.929	52.791	135	0.0154	0.0437	0.1578	0.0309	0.0456
225	23.731	47.118	42.327	49.928	43.086	225	0.0078	0.0643	0.023	0.051	0.0723
270	21.95	37.447	36.72	49.791	50.274	270	0.0311	0.0776	0.0342	0.1114	0.0257
315	84.474	71.878	78.411	89.544	94.655	315	0.0227	0.0164	0.0471	0.0128	0.75

Data for Listener **AT**

```
NBrst
               8kSwp TBrst 1.5Sp Plses
      0 19.333 10.889 8.5556 7.7778 54.111
     45 42.778 69.222 50.667 91.222 79.444
     90 106.89 113.22 105.11 112.11 100.44
    135
        178.11 172.89 151.11 173.22
    180
    225 252.44
                  228 258.11 231.44 253.44
    270 275.67 285.06 250.56 271.17 293.56
    315 287.33
                  335 266.89 329.67
Elev(ave acrss Az)
      NBrst 8kSwp TBrst 1.5Sp Plses
UP(45 Deg) 37 26.381 24.905 28.667 18.143
         HORIZ -20.381 -20.81 -27.381 -21.381 -12.619
    DWN(45 Deg) -17.476 -24.762 -42.143 -34.619 -21.167
       Angle of Error for Azimuth
  UP
                                              UP
               8kSwp TBrst 1.5Sp
                                    Plses
                                                   NBrst
                                                          8kSwp TBrst 1.5Sp Plses
      0 20.14 70.191 21.916 65.012 82.798
                                                0 0.0106 0.0546 0.076 0.022 0.0031
        57.229 69.291 19.978 58.366 22.882
     45
                                               45 0.4451 0.4476 0.054 0.435 0.0283
        74.009 16.809 81.257 57.845 85.472
                                               90 0.5219 0.0121 0.025 0.4186 0.1171
    135 40.589 36.558 43.235 57.939 58.948
                                               135
                                                    0.017 0.1443 0.025 0.3508 0.4133
    225 49.178 56.23 43.912 40.673 43.473
                                               225 0.2211 0.1741 0.047 0.0775
                                                                                 0.048
    270 23.877 40.161 40.193 16.827 70.47
                                               270 0.0634 0.3155 0.416 0.0178
                                                                                 0.102
    315 45.972 76.068 58.726 77.877 81.831
                                               315  0.4443  0.003  0.312  0.0232
 HORIZ
                                             HORIZ
                                                  NBrst 8kSwp TBrst 1.5Sp
       NBrst
              8kSwp TBrst 1.5Sp Plses
     0 28.492 32.668 36.57 36.663 39.298
                                                0 0.0495 0.0837 0.041 0.1073 0.085
        37.415 43.114 48.637 68.347 25.241
                                                45 0.0291 0.0614 0.254 0.8393 0.0146
        37,738
               41.86 41.453 35.069 39.88
                                                90 0.0957 0.0339 0.022
                                                                         0.02 0.1162
                                               135 0.1476 0.1185 0.485 0.0968
        55.366 46.425 45.599 59.377 50.455
                                                                                0.197
   225 11.541 29.164 26.76 27.01 30.004
                                               225 0.0131 0.0668 0.149 0.0949 0.0976
    270 41.47 43.14 32.043 36.093 38.394
                                               270 0.0499 0.0786 0.11 0.0265 0.0407
   315 25.413 30.915 39.02 36.783 78.456
                                               315 0.0191 0.0643 0.01 0.0756
 DWN
                                            -DWN
      NBrst 8kSwp TBrst 1.5Sp Plses
                                             NBrst 8kSwp TBrst 1.5Sp Plses
0 0.0194 0.0541 0.036 0.2114 0.3439
     0 27.182 22.524 31.741 26.634 33.492
    45 29.851
               41.19 30.476 37.926 27.242
                                               45 0.2958 0.3495 0.223 0.2554 0.2292
    90 26.373 31.464 27.821 42.143 29.682
                                               90 0.1945 0.1848 0.153 0.3529 0.1384
    135
        49.275
               51.561 43.624 38.842 32.998
                                               135 0.0223 0.0427 0.215 0.0859
                                                                                0.151
   225 37.909 36.183 35.555 36.597 40.512
                                               225 0.2507
                                                          0.179 0.134 0.1001 0.0382
   270 51.668 50.211 62.164 50.477
                                             270 0.8318 0.7985 1.121 0.7737 0.9707
                                     56.73
   315 53.706 66.198 36.357 69.881
                                               315 0.2781 0.5047 0.038 0.4623 0.1931
                                     41.895
```

Data for Listener **BW**

```
NBrst 8kSwp TBrst 1.5Swp Plses
2.22 4.67 3.56 36.00 0.00
           2.22
                                       40.22
           42.11
                    45.11
                             32.44
                                              69.78
                           69.78
                                     72.89
         78.67
                    80.11
    135 119.78 110.89 118.67 110.78 104.00

    225
    170.67
    210.22
    198.78
    227.44
    244.89

    270
    287.33
    291.89
    304.33
    251.33
    282.67

    315 296.44 219.22 261.00 218.22 289.44
Elev (ave acrss Az)
                 NBrst 8kSwp TBrst 1.5Sp Pises
      UP(45 Deg) 28.81 35.00 39.52 38.14 41.72
                            -6.38
                                    -4.81 -11.05 -11.67
           HORIZ -6.43
    DWN(45 Deg) -17.00 -30.62 -41.05 -31.33 -30.62
         Angle of Error for Azimuth
                                                                         1/k
                  8kSwp TBrst 1.5Swp Plses NBrst 8kSwp TBrst 1.5Swp Plses
   UP
                                                          0 0.1231 0.063 0.2891 0.558 0.009
      0 34.827 41.643 39.176 43.774 44.241
     45 33.046 21.881 37.805 30.939 39.362 45 0.8265 0.0407 0.4043 0.0742 0.0519 90 24.198 31.311 19.489 42.3 40.767 90 0.1652 0.0248 0.0708 0.1049 0.1075 135 27.567 38.517 37.662 36.007 39.897 135 0.2026 0.1171 0.3063 0.2396 0.2726 225 76.144 98.803 74.181 45.194 55.573 225 0.8108 0.6713 1.2165 0.4685 0.5869 270 33.104 65.764 55.704 39.589 39.982 270 0.0867 0.415 0.4964 0.4771 0.3683 315 46.459 50.696 53.726 36.595 35.427 315 0.1539 0.0735 0.1951 0.2213
    135 27.567 38.517 37.662 36.007 39.897

      225
      76.144
      98.803
      74.181
      45.194
      55.573

      270
      33.104
      65.764
      55.704
      39.589
      39.982

    315 46.459 50.696 53.726 36.595 35.427
                                                     HORIZ
 HORIZ
                  8kSwp TBrst 1.5Swp Plses NBrst 8kSwp TBrst 1.5Swp Plses
        NBrst
                                                            0 0.26 0.2424 0.0893 0.0639 0.0167
       0 32.773 47.572 21.346 18.272 9.4683
                                                        45 0.5856
                                                                         0.042 0.0756 0.0199 0.0089
     45 44.864 15.448 25.262 26.098 26.824
                                                         90 0.0991 0.0286 0.0548 0.0255 0.1835
     90 42.139 14.668 21.409 23.435 30.881
                                                        135 0.0197 0.1058 0.0502 0.1111 0.1853
    135 18,603 19.964 19.878 27.029 24.566
                                                          225 0.0774 0.0927 0.0149 0.0745 0.0671
    225 22.618 33.301 24.142 31.561 33.511
                                                       270 0.0365 0.0141 0.014 0.0162 0.0594
    270 15.827 10.392 13.959 16.775 21.089
    315 39.516 57.228 43.029 49.007 76.135
                                                        315 0,2995 0,2567 0,1873 0,1332
                                  1.5Swp Pises
'9 40.333
                                                        DWN
  DWN
                                                        NBrst
                                                                        8kSwp TBrst 1.5Swp Plses
                  8kSwp TBrst
        NBrst
       0 28.111 43.05 35.79 40.233 44.051
                                                          0 0.1652 0.0047 0.2318 0.061 0.0613
                                                        45 0.6392 0.4249 0.0055 0.5281 0.3607
     45 54.164 56.874 49.494 54.382 39.618
                                                          90 0.1766 0.2071 0.0884 0.1155 0.4181
     90 24.153 67.523 63.184 29.048 46.663
                                                          135 0.0669 0.2352 0.5664 0.3968 0.4322
    135 21.618 44.349 52.679 53.451 52.322
                                                          225 1.1196 0.7595 0.9885
                                                                                           0.201 0.3371
    225 72.633 73.297 73.578 51.096 97.536
                                                          270 0.2901 0.2115 0.0359 0.1073 0.1269
    270 48.202 39.422 74.117 55.517
                                              53.429
    315 56.222 43.261 55.669 35.427 73.762
                                                                         0.123 0.2045 0.1427
                                                          315 0.2292
```

APPENDIX B

The procedure used to calculate the vector quantities, angle of error and $\kappa^{\text{-1}}$, follow the description by Wightman and Kistler (1989). However, the description below was worked out here. Any errors are ours and may not be attributed to anyone else.

Angle of Error

The analysis of the listeners' head-orientations, read from the iso-tracker, were in degrees azimuth and degrees elevation. Head gaze forward was $0^{\circ},90^{\circ}$, according to the coordinates used for the 3DAG. Thus, 90° azimuth was to the right of the listener, roughly opposite the right ear, and 270° was to the left, roughly opposite the left ear. In the median plane, 0° was directly above the listener's head and 180° was directly below the listener. Our stimuli were presented at 45° degree increments in azimuth, i.e., there were seven azimuth angles (excluding 180°) and in elevation (45° , 90° , and 135°), for a total of 21 virtual source locations for each of the five icons for each run.

The angle of error was the difference, in vector terms, of the mean of three runs and the target vector for each angle. The x, y, z coordinates of the target location were determined from the sine and cosine values of the angle in azimuth and elevation.

x=(cos azimuth angle)(cos elevation angle)

y=(sin elevation angle)

z=(sin azimuth angle)(cos elevation angle)

The x, y and z coordinates for each angle indicated by the head-orientation readings were also determined. The mean values of the coordinates were calculated for the three test runs, taken after approximately 24-30 hours of scheduled practice.

The difference between the two angles, target and response, is determined from the arc cosine of the dot products for each pair of angles,

D= arc cos
$$(x_R x_T) + (y_R y_T) + (z_R z_T)$$

where xt, yt, zt represent the coordinates for the target angle and x_R , y_R , z_R represent the coordinates of the response angle to that target for each listener.

Variability (κ⁻¹)

Wightman and Kistler (1989) discuss the problem of describing the variability of judgements in spherical coordinates, citing the von Mises-Fisher distribution, k (kappa). These authors cite Fisher, Lewis and Embleton (1987) as the source for an unbiased estimate of κ , as

$$\kappa' = (N-1)2 / N(N-R),$$

where N= number of observations (note: less than 16) R= the length of the judgement vector.

In the sense of spherical coordinates, Wightman & Kistler (1989) speak of the "dispersion" of the judgements about the inner surface of the sphere. An important quantity that must be calculated from the judgement vectors is the length of the resultant. The length of the resultant is calculated by the relation,

$$R = \sqrt{\left(\sum x_r\right)^2} + \sqrt{\left(\sum y_r\right)^2} + \sqrt{\left(\sum z_r\right)^2}$$

If each member of the set of responses is a perfect match to the target, the dispersion is low and R, the length of the vector, approaches N and k becomes very large. Wightman and Kistler (1989) state that κ^{-1} is the conventional expression used to describe dispersion in spherical coordinates and that is what we have calculated to describe the present data set. Note that there is a κ^{-1} for each of the 21 target angles, calculated for the set of 3 test runs. That is, for our study, N=3 in the equation for the estimate of κ .